

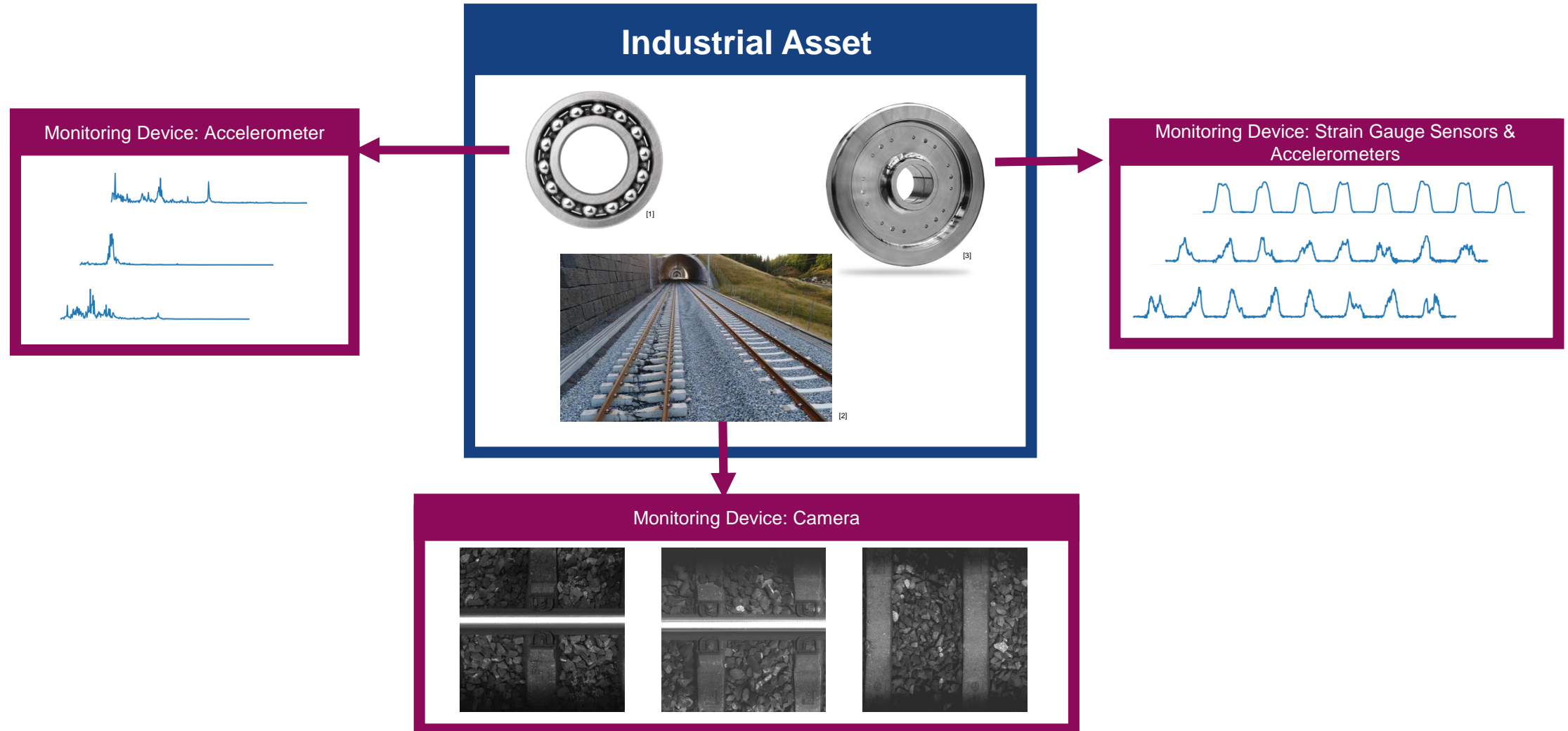
# Contrastive Feature Learning for Fault Detection and Diagnostics

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# Condition Monitoring Data



[1] [https://www.wyhbearings.co.uk/618-8\\_skf\\_open.html](https://www.wyhbearings.co.uk/618-8_skf_open.html)  
[2] <https://www.emag.com/workpieces/railway-wheel.html>  
[3] <https://skandbaunews.e-ls.de/2017/09/29/gleisbau-26-500-neu-eingebaute-schwellen-zerstoert/>

# Factors of Variations in Condition Monitoring Data

**Distinguishing these factors can be difficult**

## Controlable Factors

Operating Conditions,  
New Components,  
...



Different loads



Different loads,  
velocities

## Uncontrolable Factors

Environmental Factors,  
...



Ambient  
Temperature



Lighting,  
Background

## Health Factors

Fault Types, Fault  
Severities,  
...

Faults are rare

Fault data not available

**Often the available dataset is not representative!**

**Data-driven fault detection and diagnostics model fail!**

# Objective

## Objective 1:

Invariance to any fluctuation caused by «other» factors

### Controlable Factors

Operating Conditions, ...

### Uncontrolable Factors

Environmental Factors, ...

## Objective 2:

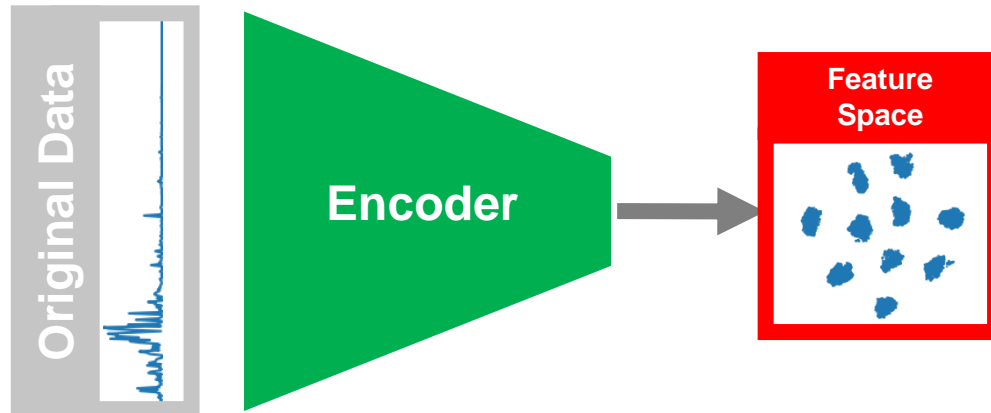
Sensitivity to Faults

### Health Factors

Fault Types & Severities, ...

# Feature Learning

*Exemplar*



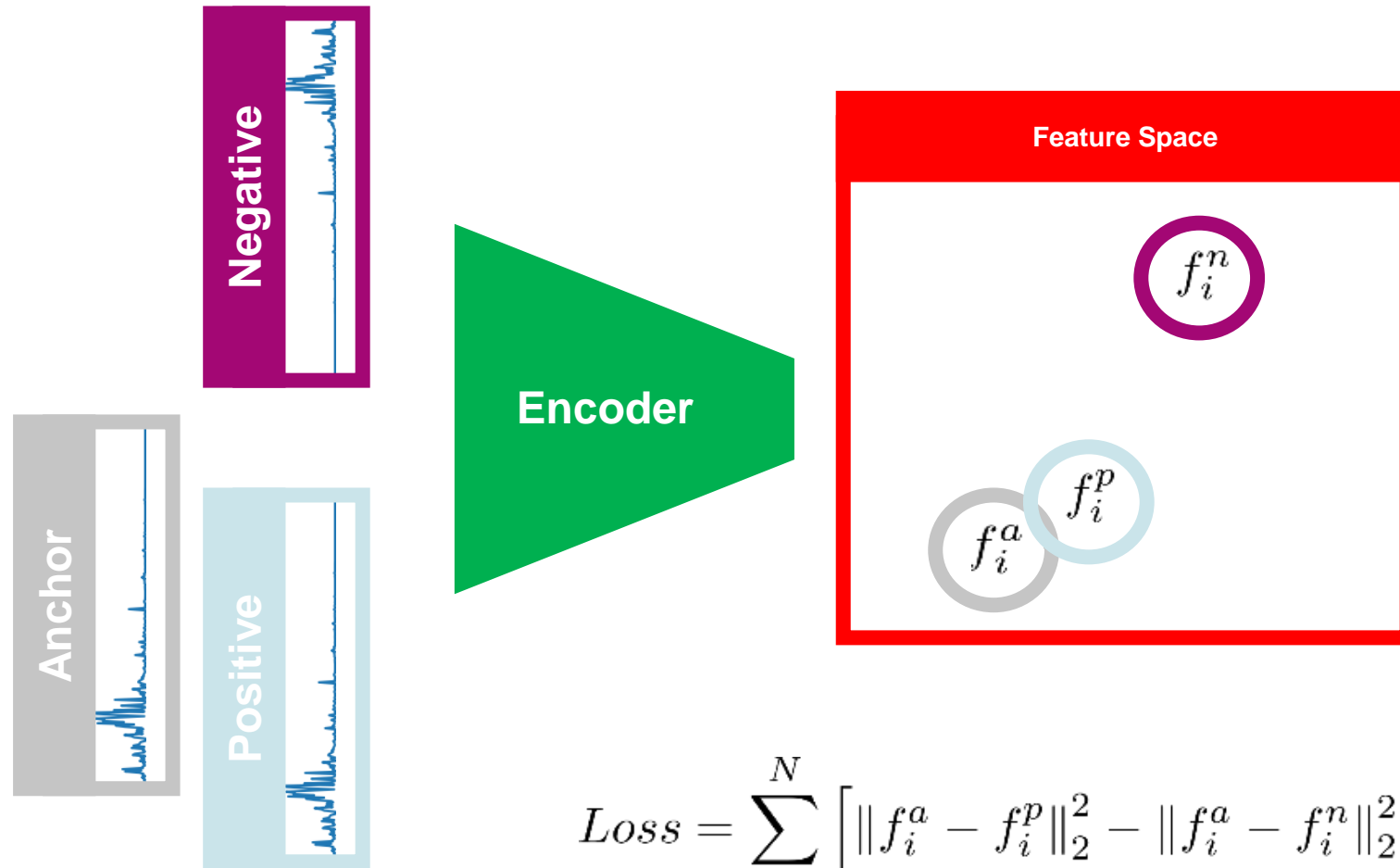
## Objective 1:

Model invariant to  
“other” factors

## Objective 2:

Model sensitive to  
faults

# Contrastive Feature Learning – Triplet Loss



## Objective 1:

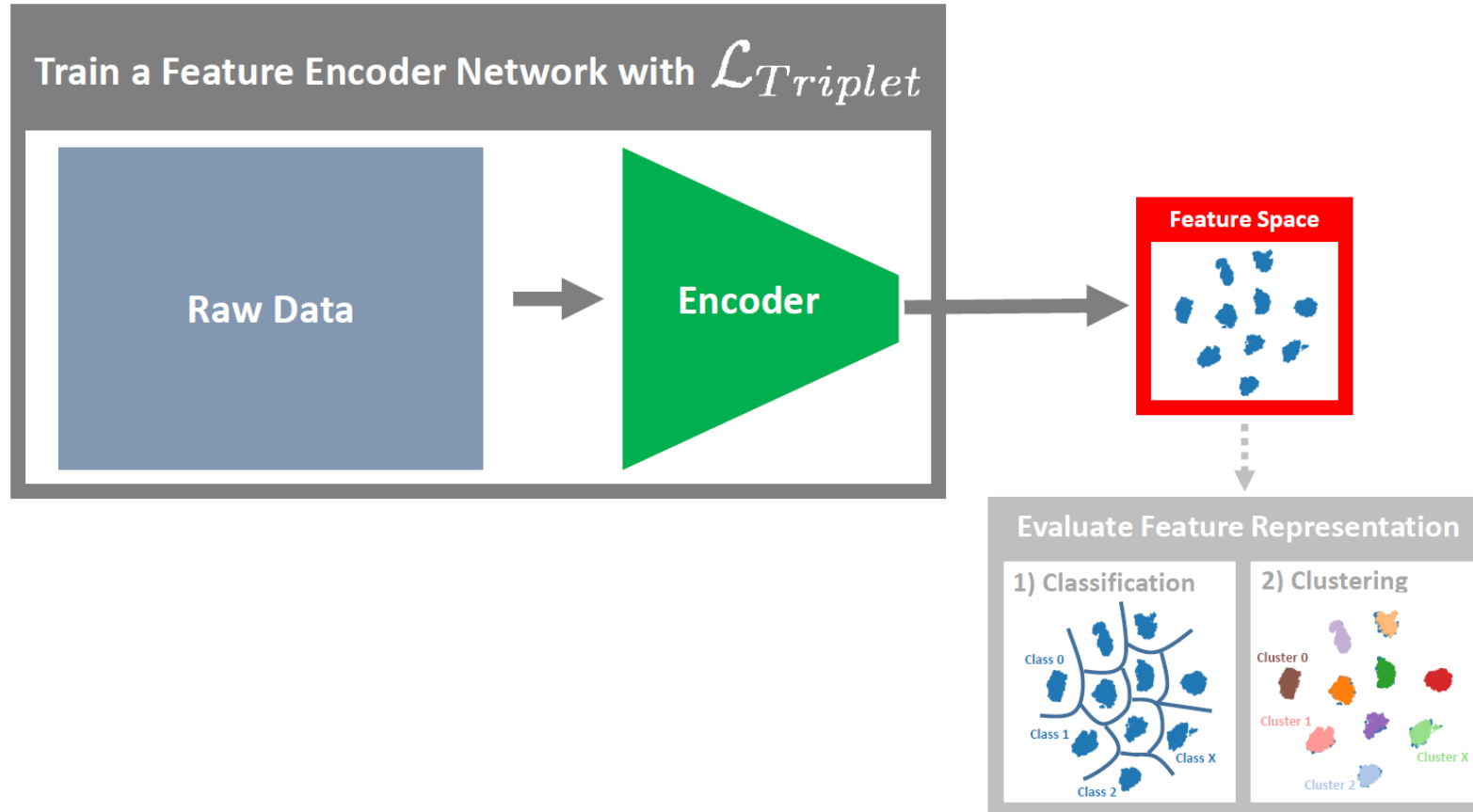
Model invariant to  
“other” factors

## Objective 2:

Model sensitive to  
faults

$$Loss = \sum_{i=1}^N \left[ \|f_i^a - f_i^p\|_2^2 - \|f_i^a - f_i^n\|_2^2 + \alpha \right]_+$$

# Methodology



## Objective 1:

Model invariant to  
"other" factors

## Objective 2:

Model sensitive to  
faults

# Applications

## Objective 1:

Model invariant to  
“other” factors

## Objective 2:

Model sensitive to  
faults

## Data Representation





# Applications

## Objective 1:

Model invariant to  
“other” factors

## Objective 2:

Model sensitive to  
faults

Sufficient data from  
«other» factors

All Fault Data Available



Limited data from  
«other» factors

Limited fault data



Limited data from  
«other» factors

No Faults Data

**Difficulty**

# Applications

## Objective 1:

Model invariant to  
“other” factors

## Objective 2:

Model sensitive to  
faults

## Classification

Sufficient data from  
«other» factors

All Fault Data Available



Limited data from  
«other» factors

Limited fault data

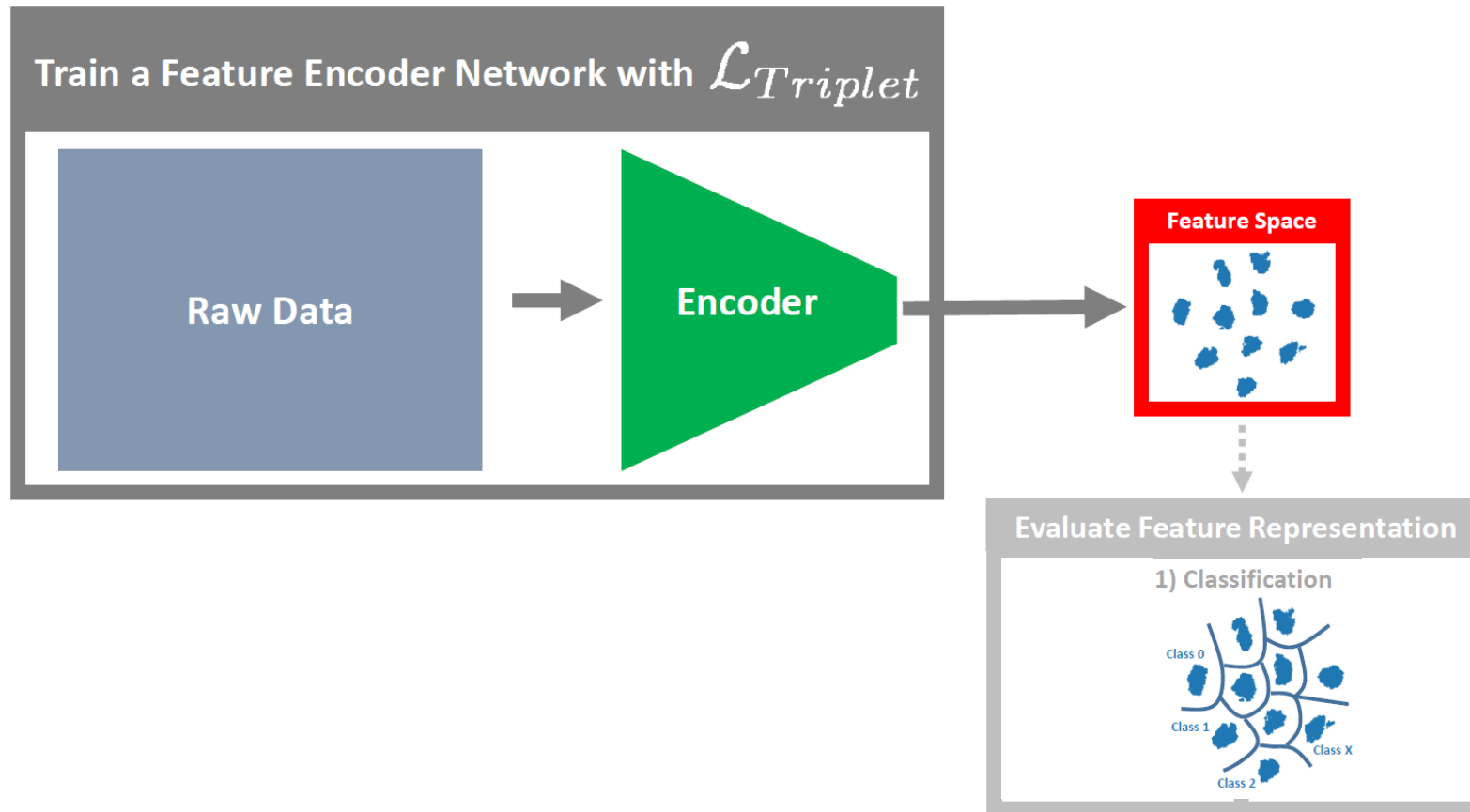


Limited data from  
«other» factors

No Faults Data

**Difficulty**

# Application 1: Defect Type Classification of Sleepers



## Objective 1:

Model invariant to  
“other” factors

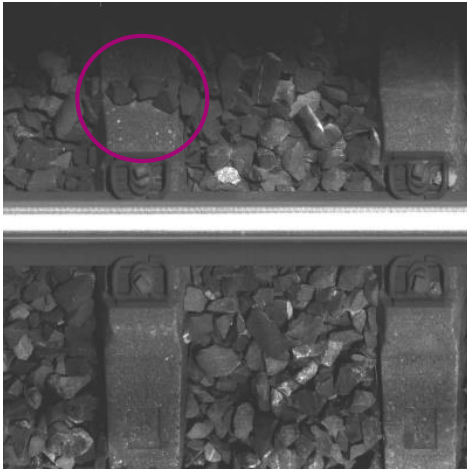
## Objective 2:

Model sensitive to  
known faults

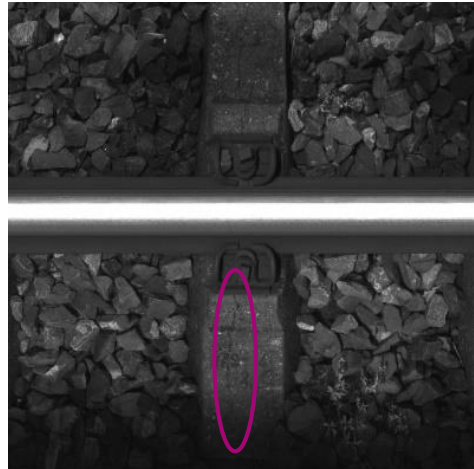
## Scenario:

All fault types known

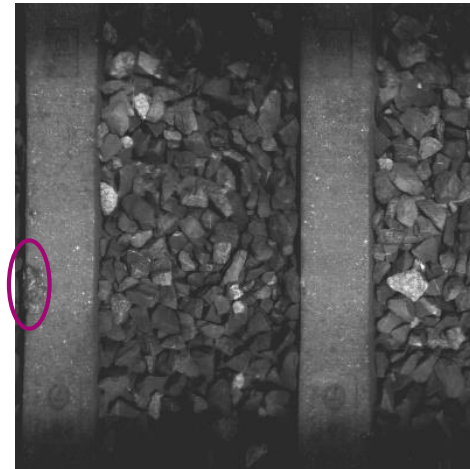
# Application 1: Defect Type Classification of Sleepers



**Healthy**



**Cracks**



**Spalling**

## **Objective 1:**

Model invariant to  
“other” factors

## **Objective 2:**

Model sensitive to  
known faults

## **Scenario:**

All fault types known

# Results Application 1: Defect Type Classification of Sleepers



	Classification T acc
CLE	81%
TE	94%

**+ 13% accuracy gain**



# Applications

## Objective 1:

Model invariant to  
“other” factors

## Objective 2:

Model sensitive to  
faults

### Classification & Detection

Limited data from  
«other» factors

Limited fault data



Sufficient data from  
«other» factors

All Fault Data Available

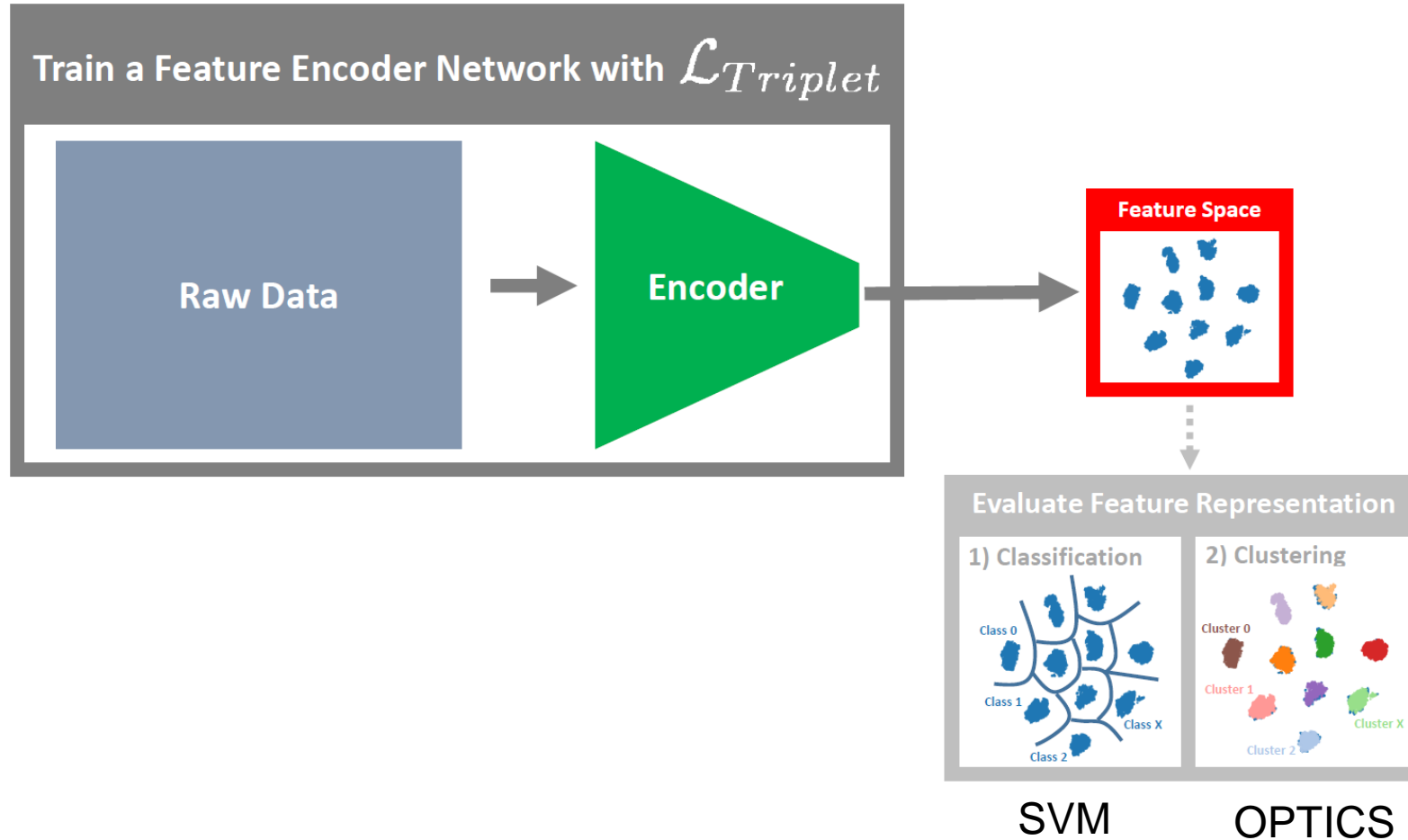


Limited data from  
«other» factors

No Faults Data

Difficulty

# Methodology



## Objective 1:

Model invariant to  
"other" factors

## Objective 2:

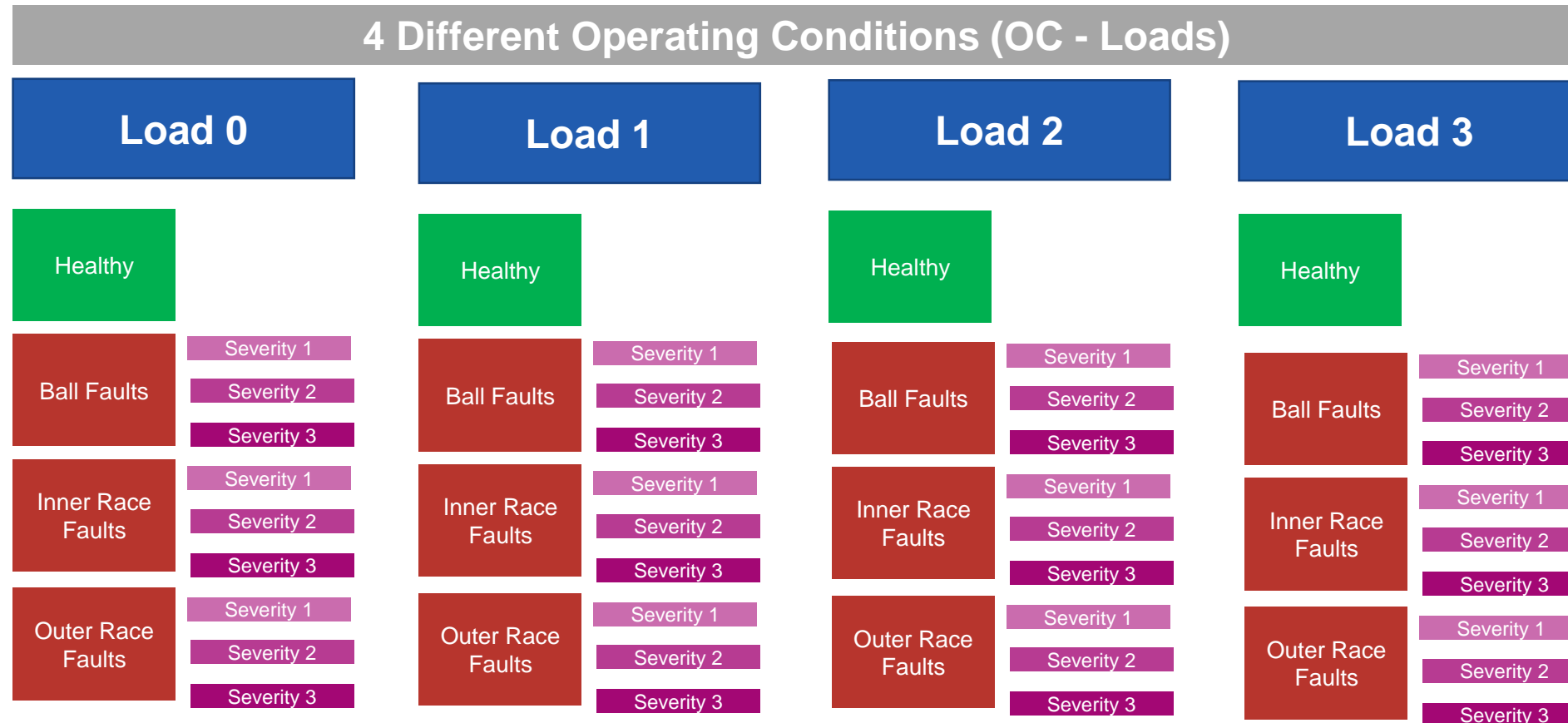
Model sensitive to  
known and novel  
faults

## Scenario:

Limited data from «other» factors

Limited fault data

# Experiments Conducted on CWRU Dataset (Bearing)



# Case Studies

## Objective 1:

Model invariant to “other” factors

### Case Study 1:

Train Models on a subset of the OC

Load 0

Load 2

Load 3

Load 0

Load 2

Load 3

Load 1

Evaluate Models on

- Known OC
- Novel OC

## Objective 2:

Model sensitive to known and novel faults

### Case Study 2:

Train Models on a subset of the faults

Evaluate Models on

- Known Faults
- Novel Faults

# Case Study 1: Classification and Clustering Results on Various Operating Conditions

## Objective 1:

Model invariant to “other” factors

## Case Study 1:

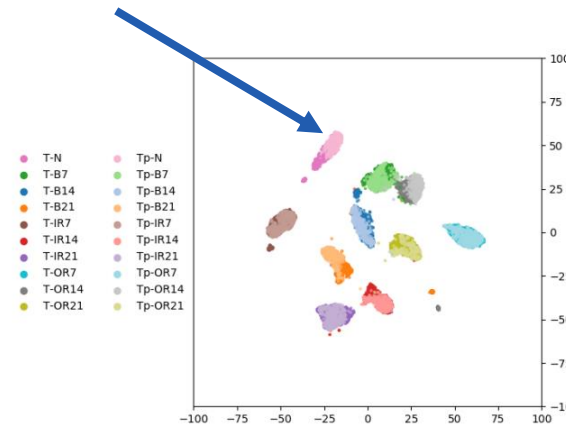
Train Models on a subset of the OC

Evaluate Models on

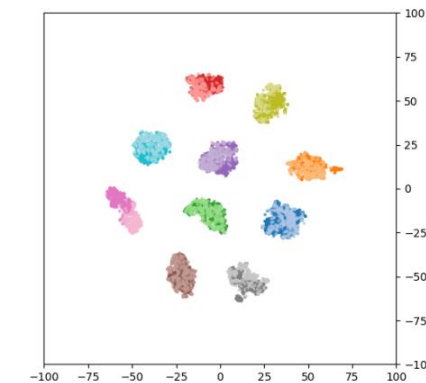
- Known OC
- Novel OC

**Compact Class Clusters in the Triplet Encoder feature space despite changing OC**

Softer Color represent data recorded under «novel» OC



Classifier Encoder (CLE)



Triplet Encoder (TE)

**TSNE Plots of the Different Feature Spaces**



# Case Study 1: Classification and Clustering Results on Various Operating Conditions

## Objective 1:

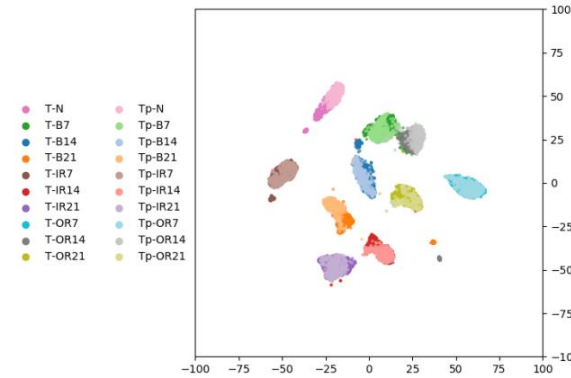
Model invariant to “other” factors

## Case Study 1:

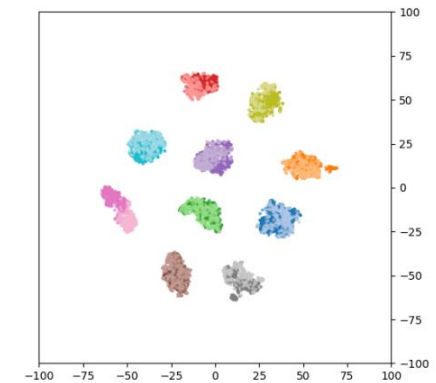
Train Models on a subset of the OC

Evaluate Models on

- Known OC
- Novel OC



Classifier Encoder (CLE)



Triplet Encoder (TE)

## TSNE Plots of the Different Feature Spaces

	Known OC		Novel OC	
	Classification		Clustering—OPTICS	
	$T$	$T_p$	$T$	$T \cup T_p$
	acc	acc	AMI	AMI
CLE	100%	99%	14%	23%
TE	100%	100%	98%	97%

## Classification and Clustering Results

# Case Study 2: Classification and Clustering Results with Novel Faults

## Objective 2:

Model sensitive to known and novel faults

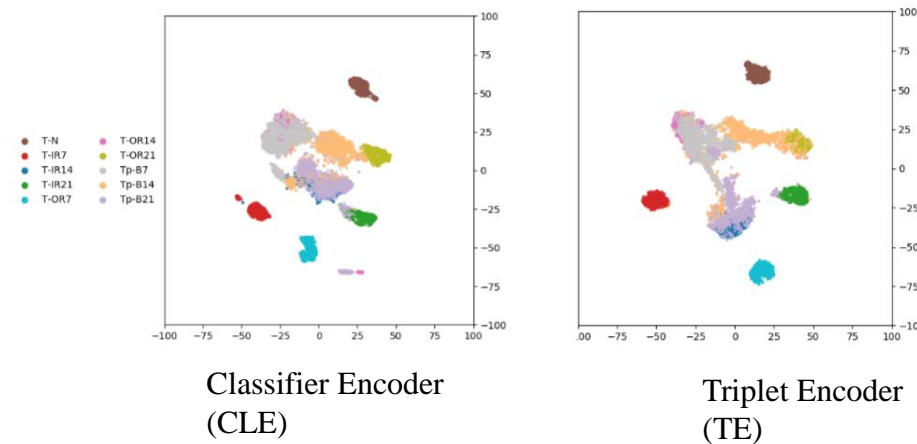
## Case Study 2:

Train Models on a subset of the faults

## Evaluate Models on

- Known Faults
- Novel Faults

**94% of the detected outliers  
novel faults**



**TSNE Plots of the Clustered Feature Spaces**

# Case Study 2: Classification and Clustering Results with Novel Faults

## Objective 2:

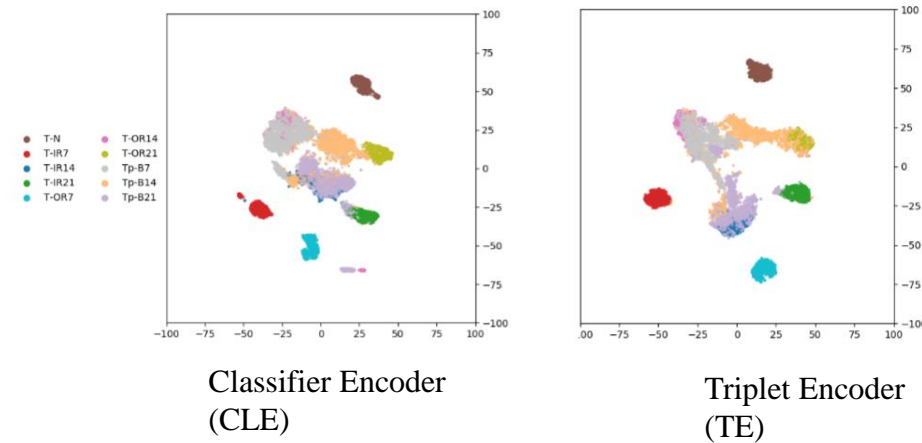
Model sensitive to known and novel faults

## Case Study 2:

Train Models on a subset of the faults

Evaluate Models on

- Known Faults
- Novel Faults



TSNE Plots of the Clustered Feature Spaces

	Known Faults		Novel Faults	
	Classification		Clustering—OPTICS	
	$T$	$T_p$	$T$	$T \cup T_p$
	acc	acc	AMI	AMI
CLE	100%	0%	7%	7%
TE	100%	0%	96%	73%

Classification and Clustering Results

# Summary & Outlook

**Objective 1:**  
Model invariant to  
“other” factors

**Objective 2:**  
Model sensitive to  
faults

**Anomaly  
Detection**

Sufficient data from  
«other» factors  
All Fault Data Available



Limited data from  
«other» factors  
Limited fault data



Limited data from  
«other» factors  
No Faults Data

**Difficulty**

# Thank you!